

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
  
Debtors.

Chapter 11 Case No.

08-13555 (JMP)  
(Jointly Administered)

Ref. Docket No. 31946, 31947,  
31955, 31968-31974, 31979-31984,  
32008-32012, 32016, 32017, 32028,  
32029, 32031, 32037-32039

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 15, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
19<sup>th</sup> day of November, 2012

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP FINANCIAL PRODUCTS INC.  
TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP  
ATTN: SCOTT EVAN  
390 GREENWICH STREET, 4TH FL  
NEW YORK NY 10013

CITIGROUP FINANCIAL PRODUCTS INC.  
PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP  
ATTN: ELANIT SNOW  
1285 AVENUE OF THE AMERICAS  
NEW YORK NY 10019-6064

Please note that your claim # 21893-23 in the above referenced case and in the amount of  
\$9,157,660.95 allowed at \$8,857,192.22 has been transferred (unless previously expunged by court order)

HLF LP  
TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC.  
C/O HALCYON ASSET MANAGEMENT LLC  
ATTN: MATT SELTZER  
477 MADISON AVENUE, 8TH FLOOR  
NEW YORK NY 10022

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 31946 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/15/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 15, 2012.

## **EXHIBIT B**

TIME: 14:48:28  
DATE: 11/15/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
BRUGMAN-HOOGLAND, E.G.M.	REIJGERSEILAND 2 OUDERKERK AAN DE AMSTEL 1191 CV NETHERLANDS
BUTSEM SA	C/O ANDRES ESCARPENTER 86, ESCUELAS PIAS BARCELONA 08017 SPAIN
BUTSEM SA NO 3	C/O ANDRES ESCARPENTER 86, ESCUELAS PIAS BARCELONA 08017 SPAIN
C.V.I. G.V.F. (LUX) MASTER S.A.R.L.	TRANSFEROR: BUTSEM SA NO 3 C/O CARVAL INVESTORS UK LIMITED 3RD FLOOR, 25 GREAT PULTENEY STREET LONDON W1F 9LT UNITED KINGDOM
CAPITAL PARTNERS SECURITIES CO., LTD	TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: FUMIO KOJIMA ASahi BUILDING, 6TH FLOOR 3-12-2 NIHONBASHI, CHUO-KU TOKYO 103-0027 JAPAN
CITIGROUP FINANCIAL PRODUCTS INC.	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: ELANIT SNOW 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: SOLUS RECOVERY FUND LP ATTN: SCOTT EVAN 390 GREENWICH STREET, 4TH FL NEW YORK NY 10013
CVI GVF (LUX) MASTER S.A.R.L.	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP ATTN: SCOTT EVAN 390 GREENWICH STREET, 4TH FL NEW YORK NY 10013
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BUTSEM SA C/O CARVAL INVESTORS UK LIMITED 3RD FLOOR, 25 GREAT PULTENEY STREET LONDON W1F 9LT UNITED KINGDOM
FRANKFURTER SPARKASSE	TRANSFEROR: MONARCH MASTER FUNDING LTD ATTN: RICH VICHADITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
GOLDMAN SACHS LENDING PARTNERS LLC	TRANSFEROR: SEB BANK FRANKFURT ATTN: MICHAEL WEIS, ABTEILUNG RECHT II NEUE MAINZER STRASSE 47-53 FRANKFURT 60255 GERMANY
GOLDMAN SACHS LENDING PARTNERS LLC	TRANSFEROR: SOLUS RECOVERY FUND LP C/O GOLDMAN, SACHS, & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
GOLDMAN SACHS LENDING PARTNERS LLC	TRANSFEROR: SOLUS RECOVERY FUND LP C/O GOLDMAN, SACHS, & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR NEW JERSEY NJ 07302
GOLDMAN SACHS LENDING PARTNERS LLC	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP C/O GOLDMAN, SACHS, & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR NEW JERSEY NJ 07302
HLF LP	TRANSFEROR: SOLUS RECOVERY FUND OFFSHORE MASTER LP C/O GOLDMAN, SACHS, & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR NEW JERSEY NJ 07302
M.V. VEENENDAAL - V SETTEN	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. C/O HALCYON ASSET MANAGEMENT LLC ATTN: MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
MERRILL LYNCH CAPITAL SERVICES, INC.	DE GRAP 13 DRONTEN 8252 EX NETHERLANDS
MERRILL LYNCH CAPITAL SERVICES, INC.	ATTN: CHRISTOPHER J. HAAS BANK OF AMERICA TOWER ONE BRYANT PARK NEW YORK NY 10036
MERRILL LYNCH CAPITAL SERVICES, INC.	CHRISTOPHER J. HAAS BANK OF AMERICA TOWER ONE BRYANT PARK NEW YORK NY 10036
MERRILL LYNCH CAPITAL SERVICES, INC.	C/O FREDRIC SONICK NED S. SCHODEK SHEARMAN & STERLING LLP 599 LEXINGTON AVENUE NEW YORK NY 10022
MERRILL LYNCH CAPITAL SERVICES, INC.	C/O FREDRIC SONICK & NED S. SCHODEK SHEARMAN & STERLING LLP 599 LEXINGTON AVENUE NEW YORK NY 10022
OPERIUM B.V.	VREDSLAAN 12 LAREN NH 1251 GG NETHERLANDS
SEB BANK FRANKFURT	TITUSCORSO 2A FRANKFURT 60439 GERMANY
SOLUS CORE OPPORTUNITIES LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O THE BANK OF NEW YORK TRUST COMPANY, N.A. - HOUSTON, TX ATTN: MARY PENA GLOBAL CORPORATE TRUST 601 TRAVIS STREET, 17TH FLOOR HOUSTON TX 77002-8039
SOLUS CORE OPPORTUNITIES MASTER FUND LTD	TRANSFEROR: MERRILL LYNCH CAPITAL SERVICES, INC. C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: COMPLIANCE DEPT 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND LP	TRANSFEROR: THE ROYAL BANK OF SCOTLAND, PLC C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND OFFSHORE MASTER LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: COMPLIANCE DEPT 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
SOLUS RECOVERY FUND OFFSHORE MASTER LP	TRANSFEROR: THE ROYAL BANK OF SCOTLAND, PLC C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: SOLUS COMPLIANCE OFFICER 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
STENACKER-VAN BREE, C.E.	WILLEMSSTRAAT 114 DEN HAAG 2514 HN NETHERLANDS
STICHTING HUIZE BEUKESTEIN	P/A M.S.J. VAN DEN EUD-ZWAMBOORN DAENDELSWEG 3 APELDOORN 7315 AH NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: BRUGMAN-HOOGLAND, E.G.M. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: M.V. VEENENDAAL - V SETTEN ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: OPERIUM B.V. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: STENACKER-VAN BREE, C.E. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: STICHTING HUIZE BEUKESTEIN ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
THE ROYAL BANK OF SCOTLAND, PLC	TRANSFEROR: VAN SLEEUWEN-FRANKEN, J.P. ATTN: J. KAPTEIN KEIZERSGRACHT 268 AMSTERDAM 1016 EV NETHERLANDS
THE ROYAL BANK OF SCOTLAND, PLC	IRENA M. GOLDSTEIN & JEFFREY CHUBAK PROSKAUER ROSE LLP ELEVEN TIMES SQUARE NEW YORK NY 10036
THE ROYAL BANK OF SCOTLAND, PLC	TRANSFEROR: AETERNO MASTER FUND, L.P. ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BOULEVARD STAMFORD CT 06901
TOKAI TOKYO SECURITIES CO, LTD	TRANSFEROR: SOLOMER FUND, LLC ATTN: MATTHEW ROSENCRANS 600 WASHINGTON BOULEVARD STAMFORD CT 06901
TOKAI TOKYO SECURITIES CO, LTD	6-2, NIHOMBASHI 3-CHOME CHUO-KU TOKYO 103-8275 JAPAN
UBS AG, LONDON BRANCH	17-21, SHINKAWA 1-CHOME CHUO-KU TOKYO 104-8317 JAPAN
	TRANSFEROR: CAPITAL PARTNERS SECURITIES CO., LTD ATTN: KAZUYA ICHIKAWA AND MARIE MIYAZAWA (TOKYO) 1 FINSBURY AVENUE LONDON EC2M 2PP UNITED KINGDOM

EPIQ BANKRUPTCY SOLUTIONS, LLC

TIME: 14:48:28  
DATE: 11/15/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

Name	Address
UBS AG, LONDON BRANCH	TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD ATTN: KAZUYA ICHIKAWA AND MARIE MIYAZAWA (TOKYO) 1 FINSBURY AVENUE LONDON EC2M 2PP ENGLAND
ULTRA MASTER LTD.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O SOLUS ALTERNATIVE ASSET MANAGEMENT LP ATTN: COMPLIANCE DEPT. 410 PARK AVENUE, 11TH FLOOR NEW YORK NY 10022
VAN SLEEUWEN-FRANKEN, J.P.	KLOKKENLAAN 75C HERTOGENBOSCH 5231 AP'S NETHERLANDS

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